

RECOMMENDATION	BASELINE	FURTHER ACTIONS	WHEN	BY WHO	CURRENT POSITION	CARMS RAG Status	CERED RAG Status	PEMBS RAG Status
<b>1 The Residential and Nursing care home sector:</b>								
<b>1.1</b> The Residential and Nursing care home sector: becomes a sector of primary national strategic importance, recognising that low investment in the social care means higher costs for the National Health Service and affects economic potential by failing to support a modern and trained labour force	Well established fee setting costing model across Health and Social Care	(1) Three Counties/HDUHB approach to converging current bespoke costing models and making consistent existing fee structures	Mar-18	Neil Edwards Senior Commissioning Manager (Carms)	Regional working group meeting to identify opportunities for convergence and commonality of approach - feeding into Care Homes Pooled Fund			0
	Workforce data collection capturing training provided and future needs	Develop a new model and pathway for supporting student nurses within the independent care sector.	Ongoing	Megan Rosser & Vicki Broad (HDUHB)	Work is underway to develop a new model and pathway for supporting student nurses within the independent care sector. Nurse Assessors within			
		(6) Explore regional opportunities to work with the regional workforce board to address the challenges of recruitment of nursing staff in the independent sector	Mar-18 and ongoing	Martyn Palfreman	Regional Workforce Strategy Group (which replaced Regional Workforce Board) to commission regional workforce strategy which will address this issue			
		(7) Develop stronger links between regional partnerships & workforce development on a regional basis.	Jun-17	Martyn Palfreman	Regional Workforce Strategy Group in place with governance links to the Regional Partnership Board. Lead Strategic Director for Workforce identified (Sue Darnbrook) and Regional Workforce Programme Manager appointed			
		(8) Regional workshop planned to inform preparation of a workforce development strategy.	Dec-17	Martyn Palfreman	Workshop planned October 2018			
	Strong partnership & working relationships with care home sector	(9) To consolidate further regional connection to provider representation through Regional Collaborative Programme Manager	Mar-18	Martyn Palfreman	Regional Strategic Provider Forum to be established as part of regional Integrated Commissioning Programme			
		(10) Continue to share and celebrate good practice to promote improving standards e.g. Magic Moments workshops, Exploring the development of Value Based care in care homes planned on 20/3/17	Jun-17 and ongoing	Local Authorities & HDUHB	Values-based care workshop held. Opportunities for future sessions to be taken			
	Care home staff have good access to training from health & social care organisations	(11) Sustain current practice pending national developments		SCWDP & Area Learning and Development Managers	Training provided as part of SCWDP			
<b>1.2</b> The Residential and Nursing care home sector: is shaped by explicit policies to regulate and allow intervention in the social care market to improve the quality of care by directly addressing issues such as pay and working conditions, staffing levels and the knowledge and expertise	Escalating Concerns policy & process in place	(12) Report into Regional Commissioning Board issues related to poor performance and provider intelligence.	Ongoing	Neil Edwards Senior Manager: Commissioning (Carms) & Martyn Palfreman	issues reported to LOGs and by exception to CWMPAS Board. Possible reporting to new Regional Integrated Commissioning and Preventions Board to be considered			
	Regional Collaborative Commissioning Standards document developed and approved by the Mid and West Regional							

	levels and the knowledge and expertise of commissioners of publicly funded services	by the mid and west regional Collaborative  Regional Commissioning Board in place to oversee commissioning arrangements  Commissioning skills pilot being undertaken  Risk based quality assurance process in place.  Fee setting models across the region take account of Welsh Government Fulfilled Lives Supportive Communities commissioning framework guidance and good practice (2010)	(13) This issue is a standard item on each county's Local Operational Group (LOG). Each LOG reports to the CWMPAS Exec Board.  (14) Three counties/HDUHB currently developing Quality Assurance document for the care sector, which will be considered regionally for a consistent approach. This will include a review of existing care home closure policies.  (15) Sustain current practice pending national developments.  (16) Ensure strategic alignment with ADSS and National Commissioning Board	Sep-17  Ongoing  Ongoing	VICKI BROAD & NICOLA EDWARDS (H DUHB)	See above  Regional Quality Assurance procedures being developed and will feed into Care Homes Pooled Fund  Continue to monitor in light of legislation and national policy  Alignment with ADSS through Lead Director for West Wales Partnership (Sue Darnbrook) and with National Commissioning Board through Martyn Palfreman			
1.3	The Residential and Nursing care home sector: Care home managers are registered and are members of a professional body which sets professional standards, has disciplinary powers and provides them with a voice on national policy	Each LA monitors whether care home management fulfil their responsibilities regarding professional bodies & care home managers. This is done through the QA process & contract monitoring including regular dialogue with CSSIW	(17) Put in place processes to ensure the monitoring of these duties and accountability in each area is explicit and discussed with CSSIW as part of formal regular meetings	Ongoing	Neil Edwards Senior Manager: Commissioning (Carms)	Mechanisms in place in each County for exchange of information and concerns with CSSIW on a regular basis			
			(18) Develop a consistent regional approach to links between Escalating Concerns, Quality Assurance and CSSIW (as will be referenced within the Three Counties/HDUHB QA document)	Ongoing	Neil Edwards Senior Manager: Commissioning (Carms)	Escalating Concerns being addressed as part of regional work on Quality Assurance (see above)			
1.4	The Residential and Nursing care home sector: Develops credible quality indicators to inform strategic planning for health and social care	Well established monitoring arrangements in place between LA and HB with further expansion of nurse assessor role in more actively monitoring quality of care provision.  Well established good practice initiatives cascaded to care home sector  Provider fora that foster good communication between commissioners and providers and	(19) To consolidate current practice further by sharing best practice developments in quality standards and to look at opportunities to use these as part of contract review in partnership with the care homes e.g. A Place to Call Home, More than Just Memory Loss	Dec-17	Neil Edwards Senior Manager: Commissioning (Carms), Vicki Broad (H DUHB)	Regional contract to include relevant quality indicators and outcomes			
			(20) Consideration of a regional approach to contract monitoring and review within HB region as noted under the Three Counties/HDUHB QA document	Dec-17	Vicki Broad / Nicola Edwards (H DUHB)	Regional contract monitoring being explored as part of work on regional contract, in support of Care Homes Pooled Fund			
			(21) Showcase and share best practice on a regional basis (workshops referenced above)	Dec-17		Values-based care workshope held. Opportunities for future sessions to be taken			
<b>2 The Welsh Government, in association with Public Health Wales:</b>									
2.0	The Welsh Government, in association with Public Health Wales, ensures that: The significance of deep pressure ulcers	All pressure damage known to NHS staff is Datix incident reported thereby enabling monitoring,	(22) Care home support team to consider intelligence and provide programme of work to support the sector	Ongoing					

	<p>is elevated to that of a notifiable condition; Senior clinicians, including Registrars, General Practitioners and Tissue Viability Nurses, assume a lead role in preventing avoidable pressure ulcers and in developing a National Wound Registry, assisted by the Welsh Wound Innovation Centre; Senior clinicians are made responsible for notifying Public Health Wales of deep pressure ulcers; and Where Public Health Wales has been informed of the existence of deep pressure ulcers, a process is identified whereby that information is communicated to the CSSIW or the HIW and appropriate commissioning authorities as well as to people's families</p>	<p>investigation and escalation</p>	<p>(23) Maintain level of sharing of intelligence between CSSIW, HDUHB and LA in line with QA procedures</p>	<p>ongoing</p>	<p>Nicola Edwards (HDUHB)</p>	<p>Health to complete</p>			
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**3 The Regional Adult Safeguarding Boards:**

<p>3.1</p>	<p>The Regional Adult Safeguarding Boards ensures that the 'adults at risk' process: defines more narrowly and specifically its functions</p>	<p>The Regional Board has been established in accordance with the legislation and is exercising its duties with regard to the POVA process.  The Regional Board has agreed that there will be interim working arrangements pending the appointment of the Regional Manager for safeguarding.  The Operational teams are currently complying with the Act</p>	<p>(24) To review working arrangements following publication of the new working together guidance policy &amp; procedure</p>	<p>Sep-17</p>	<p>Chairs of Local Operational Group (LOG)</p>	<p>Safeguarding Team to complete</p>			
			<p>(25) Draft guidance in consultation phase</p>			<p>Safeguarding Team to complete</p>			
<p>3.2</p>	<p>The Regional Adult Safeguarding Boards ensures that the 'adults at risk' process: Strengthens protective outcomes for individuals where there is an allegation of evidence that harm has occurred, by ensuring that either a care assessment or a review of the individual's care plan is undertaken. Te outcome of the process should be specific action rather than simply a determination of , for example, institutional abuse</p>	<p>Systems &amp; processes are in place to protect individuals when referred in with appropriate measures taken to safeguard the individual  Individuals who reside in care homes are considered individually with decisions made regarding institutional factors through the Provider Performance arrangements</p>	<p>(26) Continue to take a person centred approach to ensure that individuals are safeguarded</p>	<p>Ongoing</p>	<p>Chairs of LOG</p>	<p>Safeguarding Team to complete</p>			
			<p>(27) Review processes to ensure consistency across the regions and examine data in relation to trends on safeguarding via the CWMPAS Board Quality Assurance &amp; Audit Framework process</p>	<p>Ongoing</p>		<p>Safeguarding Team to complete</p>			
			<p>(28) Regional Adult Safeguarding Board to receive position statement regarding advocacy (adults) In principle, support for regional commissioning approach to advocacy</p>	<p>Ongoing</p>		<p>Safeguarding Team to complete</p>			
<p>3.3</p>	<p>The Regional Adult Safeguarding Boards ensures that the 'adults at risk' process:</p>		<p>(29) Reporting of all Grade 3 and 4 pressure damage to safeguarding will be maintained</p>			<p>Safeguarding Team to complete</p>			

Ensures that the NHS is accountable for fulfilling its lead responsibility for investigating such major and potentially lethal conditions as deep pressure ulcers in the residential and nursing care sector	(30) Reporting of all Grade 1 and 2 avoidable and unavoidable pressure damage to Commissioning will be embedded in practice	Mar-17 & Ongoing	Chair of LOG - reporting by HDUHB	Safeguarding Team to complete			
	(31) Nurse Assessors will provide support when pressure damage is reported. The Care Home Support Team will provide training sessions on prevention and will focus in particular on care homes with increased incidents or trends.			Safeguarding Team to complete			
	(32) Proactive contract monitoring will be undertaken by LAs to assure commissioners of quality of service provision as well as to offer advice and guidance to care homes			Safeguarding Team to complete			
	(33) Sustain current practice pending national development of a wound registry			Safeguarding Team to complete			
	(34) Regional Adult Safeguarding Board to determine what assurance reports it requires in connection with deep pressure ulcers.		CWMPAS Board	Safeguarding Team to complete			

RAG RATINGS	
	Complete
	On track, in progress, no issues
	Not complete, in progress, a risk but not an issue yet
	A problem needs serious attention and action now